

1-2022 Publication Support

Internal Call for Proposals for the Funding of Open Access Publications

1. Background and objectives of the funding

One of the instruments for implementing the research goals of the University Development Plan is the BTU's central research budget. A coordinated concept was developed for these funds, consisting of new and proven funding measures.

The funding measure "2022 Publication Support" was developed in cooperation between the University Library and the Unit for Research Funding. It aims to increase publication activity at the BTU and, in particular, to support the transformation to open publication models. This should help to increase the visibility, discoverability, accessibility and re-usability of the BTU's scientific output.

2. Scope of funding

For each funded, peer-reviewed scientific journal article, a subsequent reimbursement of costs up to a maximum of € 1,500 will be granted to the relevant organisational unit of the BTU (chair / faculty / central scientific facility).

Funding includes full or pro-rata publication fees (Article Processing Charges - APC). For APCs below € 1,500, the maximum amount of funding is the actual costs incurred; for APCs above € 1,500, the maximum amount of funding is € 1,500. The remaining amount must be borne by the chair, faculty or central scientific facility itself.

A maximum of 3 articles per chair / central scientific facility will be funded per year.

The funding measure is subject to the budget reservation.

3. Application requirements and eligibility criteria

Eligible applicants

The respective department heads, the deans and the heads of central scientific facilities are entitled to apply.

Requirements for the article

- Only journal articles published as Gold Open Access (Gold OA) and originating for APCs are funded. Please note: for budgetary reasons, only invoices with a booking date from 2022 can be reimbursed!
- The BTU is named as affiliation for first author and / or corresponding author. First author and / or corresponding author as well as their research work must therefore be affiliated with the BTU (member or affiliate status).
- The publication costs have already been paid in advance from BTU budget funds (chair / dean's office / central scientific facility). In addition to the invoice, the proof of booking serves as proof of payment for the transfer voucher (e.g. from the BTU account information).
- Double financing is excluded. Funding is only granted if the APCs are not already partially or fully covered by other funding (e.g. third-party funding: in Horizon Europe APCs are fully reimbursed, but only within the project duration).
- The article must be made Open Access (without embargo) immediately upon publication and published under an open CC licence.
- The article meets the following quality criteria:
 - It was published in peer review.
 - It complies with the rules of good scientific practice (no predatory publishing).
 - The identification of the author and the document is ensured (proof by ORCID and DOI).

Requirements for the journal

- Articles from transformation contracts (e.g. Gold OA in the DEAL contracts) of the Alliance of Science Organisations are funded.
- If no transformation contract exists, the journal must be listed in the [Directory of Open Access Journals](#). Mirror journals are to be avoided.

Further requirements

- The article is indexed in the university bibliography UBICO.
- The research data on which the article is based take into account the FAIR principles (Findable, Accessible, Interoperable, Reusable - see [recommendation for action on handling research data at the BTU](#)).
- The article fits thematically with the BTU's profile lines ("Energy reform and decarbonization", "Health and life sciences", "Global change and transformation processes", cross-cutting theme "Artificial intelligence and sensorics").

4. Application deadline and documents to be submitted

Applications can be sent by e-mail by 30 April 2022 to openaccess@lists.b-tu.de.

Please enter "Publikationsförderung 1-2022" as the subject.

Documents to be submitted by mail attachment:

- Completed [application form](#)
- Scan of the APC invoice
- Proof of booking (e.g. from the account information)
- Separate email with transfer voucher (Please fill in the revenue part of the voucher, entering the HÜL no., booking date and cost type 68171 and confirm the arithmetical and factual correctness according to the currently valid procedure in the accompanying email (<https://www.b-tu.de/intern/formulare/finanzen/buchungsformulare>)).

Subject to a final decision, a second funding round is planned for autumn 2022. The announcement will be published in due time, if applicable.

5. Procedure

1. The applicant submits the complete application documents at openaccess@lists.b-tu.de.
2. The University Library examines the application and decides on the funding.
3. The applicant will receive feedback
 - a. if the documents are incomplete (with a request for subsequent submission)
 - b. if the application is granted/refused.
4. If the funding is granted, the University Library will forward the transfer to the Department of Finance and Internal Organisation.
5. The Department of Finance and Internal Organisation arranges for the transfer posting to the OE number of the department, the dean's office or the central scientific facility.
6. The department, the dean's office or the central scientific facility can use the funds freely in compliance with the budgetary regulations.

6. Information for applicants

For questions and more detailed explanations of the procedure, please feel free to contact:

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