

# Silent Owl Flight: Bird Flyover Noise Measurements

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Most genera of owls (*Strigiformes*) have the ability to fly silently. The mechanisms of the silent flight of the owl have been the subject of scientific interest for many decades. The results from studies in the past are discussed in detail in the paper and the rationale for the present research is given, that included flyover noise measurements on different species of birds. Successful acoustic measurements were made on a Common Kestrel, a Harris Hawk and a Barn Owl. Measurements on three other birds did not lead to reliable results. The setup and procedure used for the outdoor measurements is discussed. This includes the estimation of the trajectory from dual video camera recordings as well as microphone array measurements with a moving focus beamforming technique. The main result from the 50 successful flyovers is that the owl flight produces aerodynamic noise which is indeed a few decibels below that of other birds, even if flying at the same speed. This noise reduction is significant at frequencies above 1.6 kHz. At frequencies above 6.3 kHz the noise from the owl remains to quiet to be measured.

## I. Introduction

The flight of most owl species is not audible to man and, more important, to their prey. This ability to fly silently has long been a source of inspiration for finding solutions for quieter flight and fluid machinery. After more than a century the research regarding the mechanisms that enable the nearly silent flight of owls remains an interesting field for theoretical and experimental research. Many of these mechanisms have already been tested for their applicability in technical airfoils, resulting for example in sawtooth<sup>1</sup> or serrated trailing edges,<sup>2</sup> comb-like or brush-like flow-permeable trailing edges<sup>3,4</sup> or porous airfoils.<sup>5</sup> However, there is still a number of open questions.

One of these questions addresses the importance of each of the several adaptations of owls for quiet flight. How large is the potential noise reduction due to special morphological features? What is more important – the owls ability to fly very slow or the direct influence of the plumage morphology on the physical mechanisms of sound generation?

Several studies exist on the quiet flight of owls and on the special adaptations of their wings and feathers. To illustrate the motivation for recent bird flyover measurements subject to this paper, the following section gives a short overview on past research on the quiet flight of owls.

As early as 1904, Mascha<sup>6</sup> considered the morphology of bird feathers and noticed some special adaptations in owls he made responsible for their quiet flight. This includes the comb-like structure at the first primary feather of the owl wings, caused by the upward-bending of the barbs, and the long distal barbules (or hook radiates) of the owl feathers. These barbules end in the so called pennulum, which is bent to the dorsal side of the feather. The first report on the silent flight of owls and a description of the special adaptations of the owl feathers responsible for the quiet flight was given by Graham<sup>7</sup> in 1934. He compared the wings and feathers of silently flying owls with the wings and feathers of an owl that belongs to a genus that does not fly silently, the Tawny Fish-Owl (*Ketupa flavipes*). Based on this comparison he identified three peculiarities of the owl feathers that are held responsible for the quiet flight: the leading edge comb, the trailing edge fringe and the downy upper surface of the feathers. He also discussed the possible use of the silencing feather adaptations for aircraft and took into account the characteristics of the owl flight compared to non-silently flying birds of prey, namely the low wing loading and the low flight speed of the owl. Another

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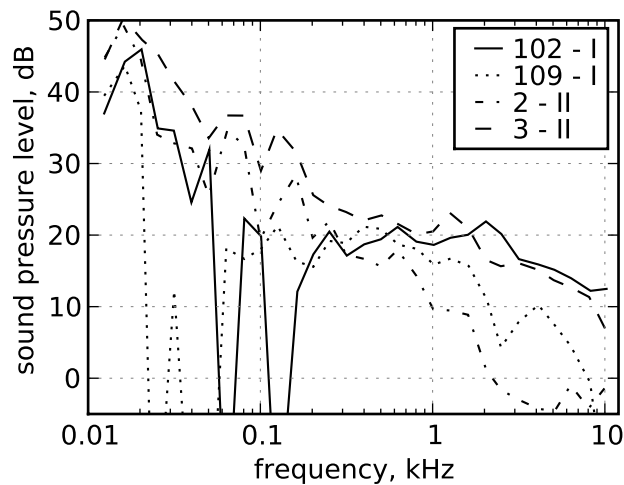


Figure 1. Sound pressure level of a gliding owl as presented by Kroeger et al.<sup>11</sup> (notation according to Kroeger et al.: flight number - measurement series)

detailed examination of the structure of the feathers of owls and other birds was done by Sick.<sup>8</sup> In partial reference to the results of Mascha,<sup>6</sup> he stated that the long, soft, upward-bent pennula cause a reduction of the noise that is generated by the friction between overlapping feathers. The first published results on acoustical measurements were presented by Thorpe and Griffin,<sup>9</sup> who noticed the lack of ultrasonic noise components in the flight noise of five species of owls compared to other birds during flapping flight. Hertel<sup>10</sup> gave a short overview on the special feather structures of the quiet flying owls, including detailed microscopy pictures of the barbules. A thorough study on the low flight noise of owls and its utilization for quiet aircraft was done by Kroeger et al.<sup>11,12</sup> and published in 1971. Since this work is basis for several other studies on the quiet flight of owls and contains results of acoustic experiments, it will be discussed here in more detail.

Kroeger et al. performed acoustic flyover measurements on one specimen of the Florida Barred Owl (*Strix varia alleni*) in a 240 m<sup>3</sup> reverberation chamber. The room had reverberation times between 0.8 s and 0.4 s for frequencies in the range of 100 Hz to 10 kHz. The flight noise of the owl was measured using a single condenser microphone positioned slightly above the floor, with a distance to the flight path that was above the reverberation radius. The study focused on the noise generation during the gliding flight phase only. This was judged by an observer who manually triggered the measurement. The data were recorded on magnetic tape. Later analysis produced third-octave band sound pressure levels. The resulting spectra were then corrected for the background noise in the reverberation chamber. Based on these sound pressure level spectra, Kroeger et al. calculated the total sound power by assuming a monopole sound source.

The positions of the owl during flight, and subsequently its flight speed, were determined using a camera and two light flash units mounted to the ceiling of the room. The flash units were manually triggered by the observer, the first flash and photograph were triggered at the beginning of the gliding phase, the second at the end of it. The position was determined from the shadows cast on the floor and on the wall, which both were equipped with a tape grid pattern. The time interval between the two flashes was determined by reading the position of a black line on a white turntable, revolving with constant speed, from the two respective photographs. It was attempted to keep the flight path of the owl constant by introducing a string barrier between an upper perch (starting position) in one corner of the room and a lower perch (landing position) in the opposite corner. Three test series were conducted. During the last test series, first the leading edge comb and then a large portion of the trailing edge of the owl wings was removed.

Figure 1 shows the third octave spectra for gliding phases of four different flights, as presented by Kroeger et al., from two test series. In general, Kroeger et al. found the shape of the sound pressure level spectra to be different from the flight noise of a sailplane, with a significant part of the noise energy being shifted to the low frequency range of the spectrum below the hearing range of humans and the typical prey of the owls (mice and other small animals). Since the calculated total sound power of the gliding flight noise was not extremely low, the quietness of the owl flight was found to be caused by this spectral shift. A dominant spectral peak was determined at approximately 15 Hz, which unfortunately matched the lowest fundamental

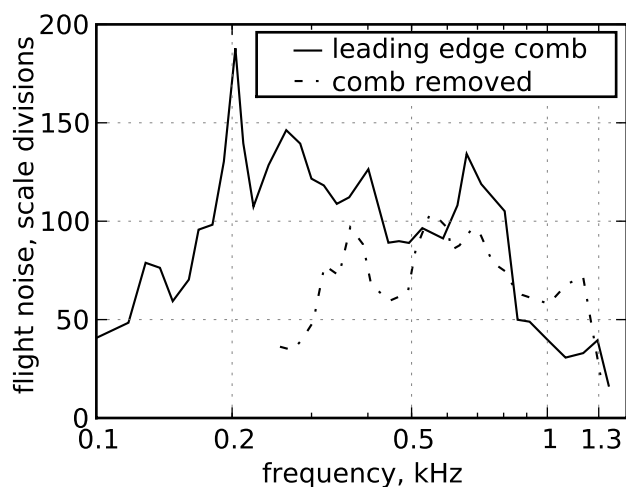


Figure 2. Flight noise of a Tawny Owl during the gliding phase of the flight as presented by Neuhaus et al.<sup>13</sup>

mode of the reverberant chamber. No comparison of the measured flyover noise spectra of the Florida Barred Owl to other species was made within the study. No correction for different flight speeds was performed either.

Regarding the measurement setup, Kroger et al. described some general difficulties. For example, a large effort was required for the training of the owl to fly along the desired path in a reproducible way. Additional training was then required for the observer to trigger the acoustic measurement and the flash units at the correct time. As can be concluded from the number of flights and the reported flight quality they also encountered complications from wing flaps during the gliding phase, the wing of the owl touching the wall or other deviations from the correct flight path. Beside these difficulties, another critical aspect of this study may be the small reverberation chamber in which the flyover measurements were made, and the resulting predetermined short flight path of the owls that might not result in a natural flight behavior of the birds.

A comparison of the flight noise from Tawny Owls (*Strix aluco*) and Mallard Ducks (*Anas platyrhynchos*) was presented by Neuhaus et al.<sup>13</sup> While the noise of the flying mallards was recorded outdoors, near a lake that the mallards frequently landed on, the measurement of the flight noise of the owls were conducted in a large gym on two tamed specimen, since their flight noise was too low to be measured in an outdoor environment. The outdoor flyover measurements on the mallards were done with a single microphone. The data were recorded on a magnetic tape recorder and analyzed using a B&K-type acoustic spectrograph. In order to reduce background noise, the measurements took place either early in the morning or late in the evening. The owls, however, had to fly from the hand of a trainer across a barrier with a height of 1.80 m to a landing spot in a distance of approximately 20 m, thereby flying over the microphone at a distance of approximately 0.3 m to 0.5 m.

To analyze the influence of the leading edge comb, additional acoustic measurements were done with the comb removed. No information is given on a measurement of the flight speed. According to Neuhaus et al., the flight speed of a Tawny Owl is about 25 to 30 km/h (6.9 to 8.3 m/s) and that of the Mallard Duck about 60 to 75 km/h (16.6 to 20.8 m/s). However, the measured flight noise was not corrected for the different flight speeds of owls and mallards. The acoustic results are presented in a somewhat uncommon manner with the amplitude of the noise signal given in scale divisions of the spectrograph as a function of the (linear) frequency. The spectra are only shown for frequencies in the range of approximately 100 Hz to 1.3 kHz, and a reference value for the chosen scale is not given. Therefore, the calculation of absolute sound pressure levels from these spectra is not possible and they may only be used to compare the noise generated by the Tawny Owl and the Mallard when the different measurement setup and flight speed is taken into account. Figure 2 shows the measured flight noise, given in scale divisions, of a flight of the Tawny Owl with intact leading edge combs and a flight of the owl with its leading edge comb removed. From the differences in the flight noise spectra (in scale divisions) between owl and mallard and between owl with intact leading edge comb and with leading edge comb removed,

Neuhaus et al. calculated differences of the sound intensity. This calculation includes the elimination

of the background noise and is based on the sound propagation in the free field and an estimated distance between bird and microphone. For the gliding flight they found that the noise generated by the Mallard Duck is in the range of 3 kHz to 5 kHz, with its maximum around 4 kHz, while for the Tawny Owl the flight noise has a noticeable low frequency character and ranges from 50 Hz to 1.5 kHz with its maximum around 200 Hz to 700 Hz. Based on Neuhaus et al., the ratio of the sound power generated by the Mallard Duck to the noise generated by the Tawny Owl is about 30 based on the maximum amplitude of the flight noise only, and about 200 when referred to the whole frequency range. As was also stated by Kroeger et al.,<sup>11</sup> Neuhaus et al. drew the conclusion that the gliding flight noise of the Tawny Owl remains below the hearing threshold of its prey since its intensity is low and has a low frequency character. The study by Neuhaus et al. gives a first proof that the flight noise of owls is indeed lower than that of other birds, however, it suffers from the differences of the measurement setup for mallard and owl, the acoustic measurement techniques available at that time, the differences of flight speed and weight of the birds and the unusual presentation of the acoustic results.

More recently published analyses of the silent owl flight were done by Lilley.<sup>14,15</sup> Based on the work of Graham<sup>7</sup> and on data from Kroeger et al.,<sup>11</sup> Lilley concluded that the special feather adaptations of the owl lead to a major noise reduction above 2 kHz. He developed a simple model to estimate the flight noise of birds and technical gliders with masses between 1 kg and 400,000 kg based on their mass and flight speed only. However, Lilley did not present any measured data and referred only to the flyover measurements by Kroeger et al.

A detailed morphometric characterization of the wing feathers of a silently flying species, the Barn Owl (*Tyto alba*), compared to that of a non-silently flying species, the Pigeon (*Columba livia*), was conducted by Bachmann et al.<sup>16</sup> They provided a quantitative database of the feather structures of Barn Owl and pigeon and on the special feather adaptations enabling the quiet flight of the owls. In a recent study, Geyer et al.<sup>17</sup> examined the noise generation of prepared wings of a Sparrowhawk (*Accipiter nisus*) and a Tawny Owl. Acoustic and aerodynamic measurements were conducted in an open jet wind tunnel, with the ability to directly compare the noise generation for both species at the same flight speed. The results showed that the noise generated at the wing of the Sparrowhawk exceeded the noise generated at the owl wing in the whole range of frequencies. The overall sound pressure level calculated from third octave bands between 800 Hz and 16 kHz of the owls wing was below the overall sound pressure level of the Sparrowhawk's wing for all tested flight speeds between approximately 7 m/s and 20 m/s. The experiments indicate that the quiet flight of the owl compared to other birds is indeed a consequence of the special feather adaptations and not only of its lower flight speed. The critical aspect of this study is the use of prepared wing specimen, that are possibly shaped in a way that does not necessarily represent the wing shape of a bird when flying under natural conditions.

All these studies on the quiet flight of the owls show that the special adaptations of the owls feathers lead to a noticeable reduction of the noise that is generated during flight. But the very low sound pressure levels make it very hard to conduct acoustic measurements of the noise emission during the gliding flight phase. And while measurements in an acoustic wind tunnel are feasible and deliver comparable results, they do not take into account the natural flying condition of a bird flying in nature. Past acoustic flyover measurements on owls were done indoors in order to avoid the dominance of background noise. Additionally, the reported measurements included the use of a single microphone only. The two most known acoustic experimental studies on the quiet owl flight, the work by Kroeger et al.<sup>11</sup> and Neuhaus et al.,<sup>13</sup> show limitations regarding the measurement setup and leave a number of unanswered questions. In general, the acoustic measurements of the gliding flight noise of owls in both studies were performed indoors in a reverberant environment, where the birds did not necessarily fly according to their natural habit. And while Kroeger et al. did not measure the flight noise of a non-quiet flying bird at all, Neuhaus et al. measured the flight noise of mallards, which have a much higher weight and fly much faster than the tawny owls.

This short summary of existing acoustic measurements of the flight noise of owls shows that further experiments, using up-to-date acoustic measurement techniques and techniques for the tracking of flight path and flight speed and taking into account the natural flying conditions of the birds, are reasonable and desirable. In the present paper, the realization and the results of acoustic flyover measurements on different species of birds, including owls and non-quiet flying birds of prey, are described. The experiments were performed using a 92 channel microphone array in an outdoor environment. The original motivation is a better understanding of quiet flight mechanisms and the transfer of knowledge to technical applications. Thus, similar to the studies in the past, the focus was on gliding flight which seems to be more comparable

**Table 1. Birds used for the test**

Species	Mass	Wingspan (approx.)
Common Kestrel ( <i>Falco tinnunculus</i> )	198 g	59 cm
Harris Hawk ( <i>Parabuteo unicinctus</i> )	660 g	95 cm
Saker Falcon ( <i>Falco cherrug</i> )	940 g	100 cm
Barn Owl ( <i>Tyto alba</i> )	298 g	84 cm
Eurasian Eagle-owl ( <i>Bubo bubo</i> )	1630 g	120 cm
Eurasian Eagle-owl ( <i>Bubo bubo</i> )	2420 g	133 cm

to present technical devices.

The remaining part of the paper is organized as follows: First, the steps required to prepare and to perform the experiments is summarized, including a description of the birds and the experimental setup for the acoustic measurements and the measurement of the trajectory. Then, details of the analysis are discussed: the estimation of the flight path and the flight speed of the gliding birds as well as the processing of the acoustic data using beamforming algorithms in the time domain are explained. Finally, results are presented and discussed.

## II. Materials and Methods

### A. Birds

As the research should deliver results regarding the flight noise from owls compared to other species, it was necessary to include also non-silently flying birds in the analysis. The only option for having several different species available for testing was to use birds held in captivity. To perform the measurements the birds have to cooperate and must be trained to do so. While an indoor measurement setup can provide a quiet environment, considerable training of the animals is required. Thus, it was decided that the experiments should take place in an outdoor environment. Additionally, it is more likely in this case that the birds are flying according to their natural habit and under natural flying conditions. The main problem which arises from the outdoor measurement is that environmental conditions, like rain, have to be taken into account and the presence of disturbing noise from other sound sources can not be totally prevented.

The measurements were conducted in the wildlife park "Johannismühle" near Berlin on six different birds, belonging to three non-quiet flying species (Common Kestrel, Harris Hawk and Saker Falcon) and two quiet flying species (Barn Owl and Eurasian Eagle-owl). Table 1 gives an overview of the birds. The animals belonged to a falconry that is part of the wildlife park and presents the birds in two public shows per day. The measurements had to be conducted in the break between the two shows, leaving a time frame of three hours to install the equipment, perform the tests and unmount the equipment. Since each bird would only do a certain number of flights per day, it was not possible to include all birds in a single measurement campaign. Instead, only one or two birds were taken out of the public program and were available for the measurements per day. Not all birds that are shown in the public shows could also be used for the test. The eagle, for instance, might have destroyed the measurement equipment in an attempt to explore it.

For the experiments, the birds were mostly flying from the hand of one falconer to a second falconer, who lured the bird with food. One of the Eagle-owls flew from one perch to another and the saker falcon was flying in circles diving for a bait fixed on a flexible pole and operated by one falconer. The advantage of the respective methods was that the birds were already trained to do the exact same procedure for the public shows of the falconry, and hence no additional training of the birds was necessary. The falconers were instructed to try to influence the flight trajectory of the birds by changing their distance and the position and posture of their hand in a way that the bird was flying in gliding flight above the microphone array (without flapping of the wings). Figure 3 shows a photograph of a flyover measurement on a Barn Owl, which is just flying over the camouflaged microphone array towards one of the falconers.

Circumstances that noticeably complicated the measurements and the post processing of the data were the background noises, caused either by rustling leaves on nearby trees, ambient sounds from distant source,



**Figure 3. Barn Owl (*Tyto alba*) flying over the camouflaged microphone array towards one of the falconers**

or by the other birds of the falconry that were occasionally shrieking.

## **B. Measurement Setup**

The setup for the acoustic measurements had to meet a number of requirements. Since it had to be used outdoors on a grassland area, its construction had to be sufficiently robust and insensitive to moisture. Second, the short time frame between the two public shows of the falconry required a setup that could be assembled and disassembled very fast with very little adjustments required. Finally, in order to not distract the bird from its daily routine, it was necessary to camouflage parts of the equipment using green colored cloths made of lightweight fabric highly permeable to air and to sound.

The low gliding flight noise of owls required an acoustic measurement setup that is very sensitive and allows for the efficient suppression of background noise to provide a signal-to-noise ratio as high as possible. Additionally, it should provide a sufficient spatial resolution to localize the sound sources connected with the flying birds. The acoustic measurements were conducted using a 92 channel horizontal microphone array mounted on the ground. The array consists of a planar  $0.5\text{ m} \times 0.5\text{ m}$  center array that holds 64 flush mounted 1/4th inch electret microphone capsules and four linear extensions, each holding additional seven 1/4th inch microphones with logarithmically scaled spacing. The four extensions were mounted to the sides of the center array, increasing the aperture of the complete array to 3.5 m. The microphones were connected to a computer-controlled front-end and were sampled using a frequency of 61,440 Hz. The resulting data were recorded on a RAID system and processed at a later time.

Another aspect of the flyover measurement setup that had to be considered carefully was the measurement technique applied to capture the flight path and the speed of the bird. While it is also necessary to know the trajectory of the object under test in vehicle drive-by or airplane flyover measurements using an array, the techniques implemented (see e.g. Guérin et al.<sup>18</sup>) in such cases could not readily be applied here. For example, it is not possible to use GPS, light barriers, laser distance meters or any sort of markers to track the flying birds. Application of such devices would possibly harm the animals, could cause distress and would violate the Animal Welfare Act. In addition to that, the method had to allow deviations from the desired flight path above the array up to a certain degree, which is difficult when using light barriers. Thus, two CCD video cameras (The Imaging Source, type "DMK 21BG04.H", Gigabit Ethernet CCD monochrome camera, 640x480 pixel) were used to capture the flight path. The camera signals were transmitted via Gigabit ethernet to the same computer that was recording the microphone signals and recorded synchronously with these signals. The frame rate was set to 30 fps, thus resulting in 2048 audio samples per video frame.

The cameras were positioned in a height of 1 m above the array center, with the camera axes perpendicular to each other and parallel to the ground, meeting at a point 1 m above the array center. The distance of the cameras from this point was 10 m, the distance between the cameras 14.14 m. Figure 4 shows a scheme of the measurement setup, including the microphone array, consisting of a square center array and four linear extensions, the two CCD cameras and a possible bird flight path. The portable weather station used to

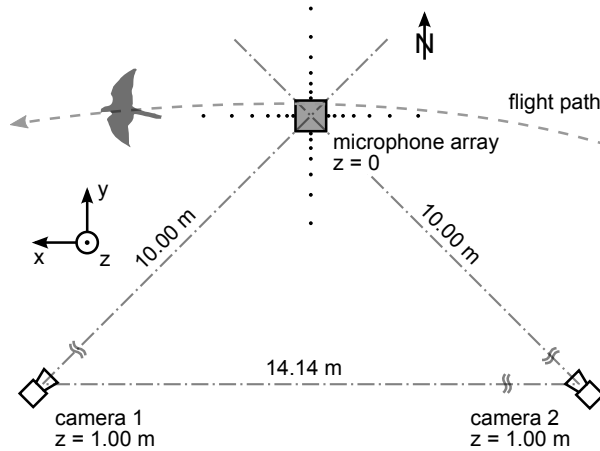


Figure 4. Schematic top view of the measurement setup used for the bird flyover measurements

continuously measure and record the wind speed is not shown.

The general procedure of the flyover measurements was as follows: When the bird started its flight, an observer triggered the synchronous recording of both the microphone array and the camera signals. When the bird had passed the microphone array, the observer triggered the end of the recordings. Any necessary labeling of the measured data was done completely during the postprocessing of the data. Therefore, it was also possible to capture more than one flight during one active measurement.

### C. Flight Trajectory

The videos recorded by the two cameras were processed to get an estimate of the flight trajectory of the birds. The basic idea of the technique applied was to track the position of the birds in the videos from frame to frame and then to combine the results from both cameras to find the position over time in three dimensions.

A number of different image processing techniques is available to track objects within a video sequence.<sup>19</sup> These techniques generally rely on the determination of the apparent motion of optical features within the recorded scene. The pattern of this apparent motion is called optical flow and consists of one velocity vector per pixel for each frame of the video sequence. The calculation of optical flow is possible by different methods. Some of these methods such as the Lucas-Kanade method<sup>20</sup> need optical features like shape outlines that have to be identified in the image prior to application. Since the birds are constantly changing their apparent shape during flight these techniques turned out to be not applicable in the present case. Instead, the Horn-Schunck<sup>21</sup> method that processes not only local regions but the whole image (dense optical flow) was chosen.

Because the cameras have fixed positions in the setup, it is reasonable to assume that the background image changes very little, leading to low or zero values for the optical flow at all pixels that belong to the background. In contrast to this, a fast moving bird leads to high values of the optical flow at those pixels in the image that show the bird. Then, the location of the maximum amplitude of optical flow should identify the bird. However, this maximum does not mark some fixed point on the body of the bird, but only some arbitrary part of it that moves fastest at the respective instant. This could be for example the wing tip or the tail. As this part of the body may change from frame to frame, it can not be readily assumed that the maximum moves exactly at the same speed as the bird. If the sequence of maximums is taken as a representation of the track, this introduces a considerable random error.

Another complication arises from other moving objects in the video, such as persons (the falconers) and other birds passing the scene in a greater distance. In some of the frames the location of the maximum amplitude of optical flow may correspond to these objects. If a sequence of maximum locations - one for each frame of the video - is calculated, most of them can be expected to be somewhere on the bird, but some others may be at completely different parts of the video image. If these are omitted the remaining sequence is a discontinuous representation of the track.

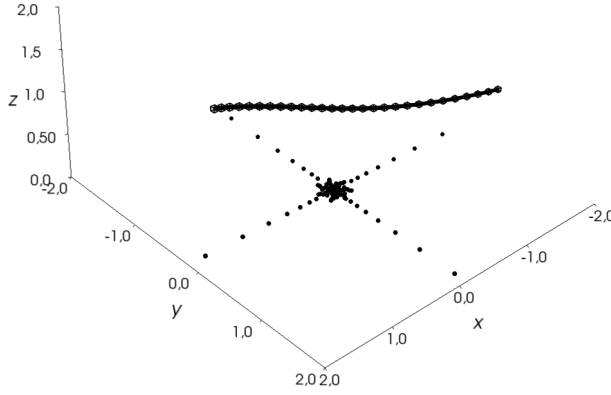


Figure 5. Example for a flight trajectory (thick line) captured from the two video sequences; the black dots show the microphone positions

An improved estimation of the flight trajectory with reduced random error from the sequence of locations of maximums requires the application of a model for the trajectory, such as the assumption of a certain direction or speed. Bird flight is typically not straight nor has it a constant speed. Thus, for the present research a more flexible model for the trajectory was applied. It was assumed that both the change in direction and the change in speed were small between individual video frames. This model was implemented by not taking into account any maximums found that resulted in abrupt changes of direction or speed of the tracked object. The result from this tracking process was a sequence of image coordinates  $u_i, v_i$  over time. To remove the noise in this estimate, a fifth order polynomial fit turned out to be sufficient. Thus, the final result from the tracking were smooth functions  $u_1(t), v_1(t)$  for camera 1 and similarly  $u_2(t), v_2(t)$  for camera 2.

From the image coordinates of camera 1 and the unknown camera-object distance  $r_1$  an estimate  $\vec{X}_1$  for the three dimensional coordinates of the object may be calculated. Likewise, a similar estimate  $\vec{X}_2$  may also be calculated from the image coordinates of camera 2 and the respective camera-object distance  $r_2$ . The unknown  $r_1$  and  $r_2$  can then be found from an iterative minimization of the distance  $\|\vec{X}_1(r_1) - \vec{X}_2(r_2)\|$  between the estimates.

This procedure was repeated for every time step  $t_i$  and the trajectory, given as  $\vec{X}(t_i) = (\vec{X}_1 + \vec{X}_2)/2$  was calculated. Thus, the position of the bird at every 1/30 s was known. The position for any  $t$  between the  $t_i$  was calculated using spline interpolation. This technique resulted in the possibility to estimate the position of the bird at any instant during the flight over the setup. An example for a flight trajectory calculated by application of this method is shown in Figure 5. The flight was a gliding flight in the positive x-direction. It can clearly be seen that in this case the bird flew on a trajectory that was bent upwards.

For the analysis of the noise generated during flight, it is also important to know the flight speed. This information is readily available from the trajectory. As the speed was not constant, an average over a certain length of the trajectory was calculated that corresponds to the same segment of the trajectory that was considered in the acoustic measurements.

## D. Microphone Array Beamforming

### 1. Theory

The beamforming algorithm that was used is based on the assumption that a source is moving in front of an array of microphones on an arbitrary trajectory with variable speed. The signal  $s(t)$  emitted by this source at a certain time  $t$  at its current position  $\vec{X}_s(t)$  travels a distance  $r_{m,i}(t) = (\|\vec{X}_s(t) - \vec{X}_i\|)^{\frac{1}{2}}$  to the microphone  $i$  at  $\vec{X}_i$  that is part of the array. If the air is at rest, the sound pressure at the microphone due to the source is:

$$p_i(t + \Delta t_i(t)) = s(t)/r_{m,i}(t), \quad (1)$$

where the time delay is given by  $\Delta t_i(t) = c/r_{m,i}(t)$  with the speed of sound  $c$ . Both the source-microphone distance and the delay are functions of time due to the motion of the source.



The basic idea of beamforming is to focus on an assumed source position and to apply a signal processing to the microphone signals such that an output is generated that meets two important conditions. First, if the assumed source position is the actual position of the source the output should be the source signal itself, possibly scaled by some known factor. Second, if the source is at any other location, the output should be minimal and in any case its amplitude less than that of the source signal. With these properties the beamformer is a directional sound receiver with true three-dimensional directivity characteristic. The typical application is to steer the beamformer consecutively to several assumed source positions with a regular grid. From the outputs, a map of sound sources (beamforming map) is constructed.

The first condition for the beamformer map is easily fulfilled. The signal processing only needs to inversely apply the relation from Equation (1) and thus compensate for the delay and attenuation of the signal. The output of the beamformer for an  $N$ -channel microphone array can then be calculated from:

$$p_o(t) = \sum_{i=1}^N h_i p_i(t + \Delta t_i(t)), \quad (2)$$

where  $h_i$  are the steering factors. One possible choice for these factors would be  $h_i = r_{m,1}/N$ . This would result in  $p_o(t) = s(t)$ , if the source is at the assumed position and thus would meet the first condition. However, the second condition is not necessarily met. If the actual source is the same direction as the assumed source, but at a somewhat closer distance to the array, the beamformer output may be larger than the source signal.

To meet also the second condition a more sophisticated approach is required. The choice

$$h_i = \frac{1}{r_{m,j} \sum_{j=1}^N r_{m,j}^{-2}} \quad (3)$$

also meets the first condition. At the same time it can be shown<sup>22</sup> that it minimizes the output power of the beamformer in the case of a large number of noncorrelated sources that are randomly distributed in space. Such a configuration would produce spatially white noise with a covariance between the microphone channels that vanishes except for  $i = j$ . If the beamformer output power is minimal under such circumstances, it can also be concluded that the second condition is fulfilled.

The source signal  $s$  as defined in Equation (1) can be interpreted as the sound pressure a monopole source would produce in a certain distance  $r_0$  multiplied by that distance. If the beamformer output is multiplied by that distance, it can be readily taken as the sound pressure of the source in the distance  $r_0$ . A common choice for  $r_0$  is the distance between assumed source and array center.<sup>23</sup>

A typical beamforming map shows the mean square of the beamformer output or the sound pressure level calculated from it. A commonly applied method to produce better looking images is to remove the autocorrelation terms within the averaging process using

$$p_{rms}^2(t) = \left\langle \left( \sum_{i=1}^N h_i p_i(t + \Delta t_i(t)) \right)^2 - \sum_{i=1}^N (h_i p_i(t + \Delta t_i(t)))^2 \right\rangle_T, \quad (4)$$

where  $\langle \rangle_T$  denotes the time average. This is equivalent to the deletion of the main diagonal of the cross spectral matrix<sup>24</sup> that is used in the frequency domain formulation of beamforming. The effect is the removal of the influence of uncorrelated noise from the microphone signals.<sup>25</sup> However, this approach may lead to some negative values for  $p_{rms}(t)^2$  which must be set to zero to remain physically correct.

In some cases it is of advantage to apply additional weight factors  $w_i$  to the individual channels. This can be done by using modified steering factors according to

$$h_i = \frac{w_i}{r_{m,j} \sum_{j=1}^N (w_j r_{m,j}^{-2})}. \quad (5)$$

## 2. Microphone Array

The microphone layout of the array determines the properties of the beamformer. These properties can be measured using the beamforming map that is produced as the image of a single point source. This image, the point spread function, shows a main lobe where the source is and side lobes of lower level at

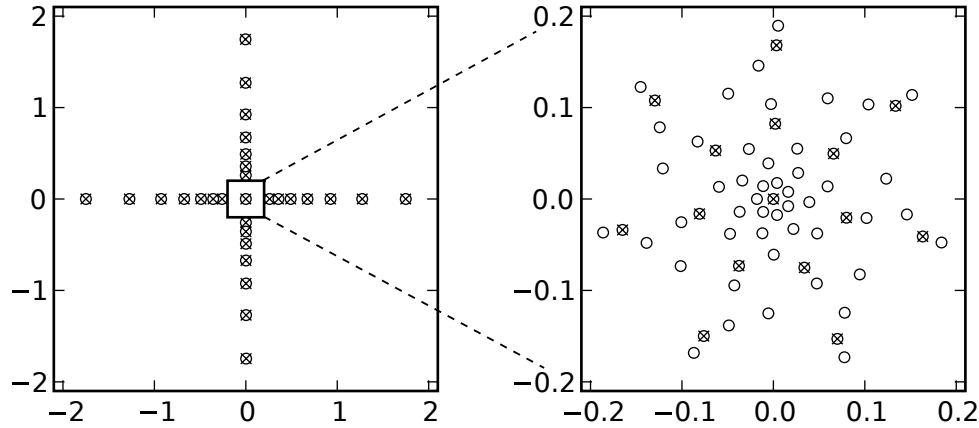


Figure 6. Microphone layout with 29 microphones and the central part with 64 microphones (magnified). Those microphones that were part of the 43 microphone arrangement are marked with a cross.

other positions. The main lobe width, which should ideally be very small, is determined by the quotient of wavelength and array aperture. Thus for low frequencies and long wavelengths, a large array is needed. The dynamic range between the main lobe and the side lobe levels is controlled by the geometric arrangement<sup>23</sup> and the number of microphones and also depends on the frequency. Thus, there is no microphone layout that produces optimal beamformer properties for all frequencies. One possible solution is to use different layouts for different frequencies. A practicable implementation is to use different subarrays within one and the same array for different frequency ranges. An additional possibility is the use of weight factors that has an influence on both the main lobe width and the side lobe levels.

The microphone array (Figure 6) used for the bird flyover measurements was designed to work in the frequency range from 500 Hz-10 kHz. In the central part of the array 64 microphones were arranged in seven logarithmic spiral arms. This arrangement was numerically optimized for measurements above 6 kHz, but does not deliver a sufficient resolution for lower frequencies. The aperture of the array was enlarged to 3.5 m with four linear extensions, each equipped with seven logarithmically spaced microphones. This enlargement provided a sufficient resolution down to 800 Hz and down to 500 Hz when using weighting factors such that the processed acoustic power per unit area is approximately constant as proposed by Sijtsma and Stoker.<sup>26</sup> While a layout with a more uniform distribution would have delivered better properties especially for lower frequencies, it could not be realized due to practical issues.

In consideration of the frequency dependent properties, different subarray layouts were applied in the analysis. For the frequency bands 500 Hz-1.25 kHz, a 29 microphone layout was used that consisted of one center microphone and the microphones at the four extensions. For the 500 Hz and 630 Hz third octave bands, weighting factors were applied. The 43 microphone layout for the bands 1.6 kHz-2.5 kHz used the microphones at the extensions and 15 selected microphones from the center array. All 92 microphones were used for 3.15 kHz-5 kHz and only the center 64 microphones were used for the bands 6.3 kHz-10 kHz. Figure 7 shows examples of the point spread function for each of the subarrays used. These point spread functions were calculated for a fixed source in a distance of 0.9 m from the array center. For sources at other locations the point spread functions vary.

### 3. Source Power Integration

While it is possible to identify sources within a beamforming map, the estimation of the source level is not straightforward. In the process of beamforming the true source image is convolved with the point spread function. Thus, depending on the point spread function, the map will show an enlarged source region. Integration over this region yields not the total source level, but due to the main lobe width a value that is greater. One possible solution is the deconvolution<sup>27,28</sup> of the map to get the true source image and correct source levels. However, in case of moving sources this is computationally very demanding. Another

technique, that was also applied in the present analysis is the source power integration technique.<sup>26</sup> In this approach, the point spread function is used to obtain the correct level. It is assumed that the source or sources of interest are within a certain sector of the map. Integration over this sector then gives a value  $P_1$ . To take the array characteristics into account, the point spread function for a point source of known source power  $P_s$  in the center of this sector is calculated and integrated to give  $P'_1$ . Then  $P = P_s P_1 / P'_1$  is an estimate of the true source power.

#### 4. Implementation

To process the data recorded during the flyover measurements, an in-house software was applied that uses a combination of the programming languages Python and C and routines from the SciPy library. Equation (4) was implemented using the steering factors from Equation (5). The assumed source positions were arranged in a planar map grid. The required distances between the grid points and the microphones were calculated under the assumption that the map grid moves along the trajectory. Thus, these distances had to be recalculated for every sample that was processed. The microphone signals  $p_i(t + \Delta t_i(t))$  that are used in Equation (4) were linearly interpolated from the available samples.

To obtain spectra of the sound sources, the signals were filtered using third octave band digital filters. The straightforward application of these filters introduces a frequency dependent phase delay, that would distort the beamforming result. Thus the filters were applied twice to the signals. In the first pass the signal was filtered as usual and in the second pass the filtered signal was processed again, but with reversed time history. Thus the filters had a zero phase delay<sup>29</sup> and no additional delays were introduced in the beamforming algorithm.

For each third octave band a separate beamformer output was calculated using the appropriate microphone channels, microphone layout coordinates and weighting factors. Finally, the beamformer output was linearly averaged over short time segments. From the results of this averaging, a sequence of beamforming maps was assembled. The maps in this sequence show the contributions to the sound pressure that would be measured in the array center for each of the respective segments of the trajectory. From these sequence maps an overall result map was calculated for each frequency band. Since the distance from the source to the array center varied considerably during one overflight, this map was computed as an average weighted according to the source power integration technique to remove the influence of this varying distance. Likewise, the overall source power was calculated for each frequency band making use of the source power integration technique, where the integration was carried out over appropriate sectors in the maps.

### III. Results

During the measurement campaign, the flight performance varied greatly between the birds. The Common Kestrel showed the greatest endurance, flying for more than 20 minutes from one falconer over the microphone array to the other falconer with more than hundred flyovers. Both the Harris Hawk and the Barn Owl did more than 25 flights. The Saker Falcon and the two Eagle-owls did between ten and twenty flights only. Especially the larger birds had to be rewarded with food after nearly every flight. When they had enough food for the day, they could not be motivated to perform further flights.

The focus in the present study is on gliding flight. Naturally, birds are not exclusively in a gliding phase during flight but also show flapping flight and other maneuvers. Thus, only those flights were of interest where the birds were in a gliding phase when flying over the array. This was the case in about one fourth of all flights. Two additional factors further reduced the number of flights available for noise measurements. First, the birds were occasionally shrieking during flight. These loud vocalizations were masking the flight noise entirely. Second, despite the efforts of the falconers the larger birds often chose flight trajectories that went to far from the array for any measurement. In the end, for the Saker Falcon and the Eagle-owls there remained no flights that could be successfully analyzed for the flight noise.

For the other species, Table 2 summarizes the flights and gives the flight speed relative to air. Interestingly, the mean flight speed was not very different for these three birds, while a somewhat greater variability could be observed for the flights of one and the same bird. The measured flight speeds match the natural flight speed range for a Barn Owl. Both the Common Kestrel and the Harris Hawk could fly considerably faster. However, due to the short flight distance in the setup it seems to be inefficient to fly at a higher speed and consequently the birds did not do so. While this is a deviation from their natural behavior, the similar flight

**Table 2. Summary of flights analyzed for flight noise**

Bird	No. of flights	mean	min speed (ms <sup>-1</sup> )	max
Common Kestrel	31	5.2	3.8	6.2
Harris Hawk	5	5.3	4.2	6.4
Barn Owl	14	5.4	4.6	6.7

speeds did simplify the comparison with the owl flight.

The microphone array has the best spatial resolution for sources that are directly in front of the array. The viewing angle between the direction of the source as seen from the array center and the axis perpendicular to the array should not be too large. In order to keep this angle small, the array measurements were analyzed not for the whole flight, but only for a fraction of it. Only those parts of each flight trajectory were taken into account that were within a 0.6 m horizontal distance from the array center axis. As the minimum flight altitude was also 0.6 m, the angle was thus limited to 45°. However, this limitation resulted in very short time periods available for analysis. Depending on the trajectory path and the flight speed, these time periods varied approximately between only 50 ms and 250 ms for a single flight.

For each of the flights, maps of sound pressure contributions for the third octave bands between 500 Hz and 10 kHz were calculated. These maps show the sound pressure level that would be measured in 1 m distance from a monopole source with equal sound power. The maps are computed in a coordinate system fixed at the flying bird. In Figure 8(a) one example is shown for a flight of the Common Kestrel. There are no large differences between the frequency bands. Thus, the flight noise appears to be purely broadband with no distinct tonal components. Due to the different array characteristics in the individual frequency bands, the maps show a frequency dependent image of the position of the sound sources. However, for some frequency bands, two source regions can clearly be recognized. These source regions seem to correspond to the wings of the bird. It is reasonable to assume that the corresponding major sound sources are located at the wing trailing edge and possibly also at the wing tips. This would be also the case if the wings were technical airfoils not permeable to flow, having a distinct trailing edge, and experiencing an inflow that is not very turbulent.

Figure 8(b) shows example maps for a flight of the Barn Owl. The differences to the Common Kestrel are obvious. While the noise is also broadband, the level is smaller for most frequency bands and the source region is less distinct. Instead, even for those frequency bands with a high spatial resolution of the microphone array, there is only one larger source area. It is not possible to identify distinct sources as in the case of the Common Kestrel. Therefore, it may be assumed that the mechanisms of the sound generation are different in owl flight and the fringes prevent the wing trailing edges from being major sources. Instead, the sound emitted during flight probably originates from a number of sources of approximately equal power. Some of the maps in Figure 8(b) also have a very limited dynamic range. This indicates that the sound to measure is indeed very weak and at the lower limit of what can be measured at all with the setup. This could also be confirmed when listening to the recordings from an arbitrary array microphone. The owl flyover was not audible at all, while for the Common Kestrel a very faint swishing could be detected within the background noise.

Third-octave band spectra were calculated from the maps of sound pressure contributions for all flights using the source power integration technique. The integration was performed over a sector of 1.2 m by 1.2 m centered at the bird. Any map with a dynamic range less than 4 dB in this sector was not considered in order to limit the influence of background noise on the results. The results for the sound pressure level  $L_p$  in a distance of 1 m from the source were averaged for each of the three species to produce the result shown in Figure 9. In the two highest frequency bands, no reliable results could be calculated for the Barn Owl due to the low dynamic range of the respective maps. For the other frequency bands, the majority of the maps could be included in the calculation.

The spectra show that the Barn Owl has a lower flight noise than the other birds for frequency bands of 1.6 kHz and above. The difference is only a few decibels. However, it appears to be significant, when the standard deviation of the results as indicated in Figure 9 is taken into account. As the flight speeds of the

birds were not too different, an important conclusion can be drawn: The silent flight of the owl is not only a consequence of its low speed, but is indeed related to an influence on the physical mechanisms of sound generation from adaptations of the owls feathers as mentioned by Graham.<sup>7</sup>

To account for the different speeds of the individual flights in the comparison of the results, the results have to be scaled. A hypothesis is then necessary regarding the speed dependence of the sound power. If trailing edge noise is the predominant noise source, dependence on the fifth power of the speed<sup>30</sup> can be assumed. The same assumption is made in Lilley's generic formula for clean airframe and bird noise.<sup>14</sup> However, this assumption would not be valid for the owl, where the noise is not predominantly originating from the trailing edge. While in theory the scaling law appropriate for the owl could be estimated from the measurements itself, this was not possible because of the limited variability of the flight speed. The analysis of prepared specimen in the wind tunnel done in a different study<sup>17</sup> did also not lead to definite results for a scaling law. Thus, all results were scaled with the flight speed according to

$$L_{p,scaled} = L_p(1 \text{ m}) + 50 \log_{10} (U/1 \text{ ms}^{-1}). \quad (6)$$

The results are shown in Figure 10. In the lower frequency bands there is no significant difference between the Barn Owl and the Common Kestrel, but for the frequency bands of 1,6 kHz and above it is clear that the owl is more silent. Interestingly, the scaling made the results for the Harris Hawk and Common Kestrel very similar at least for the higher frequency range. These results again lead to the conclusion that the flight of the owl is quieter even when the flight speed is considered.

An additional indication for the different mechanism of sound generation found in owls is the different shape of the spectrum. For both the Common Kestrel and the Harris Hawk, the third-octave spectrum has a roll-off of approximately 10 dB/decade, while for the Barn Owl it is approximately 15 dB/decade. More results would be desirable to get also an information about the spectral peak of the noise. However, this peak is obviously below the 500 Hz band for all tested species. Thus, the hypothesis that the sound power is simply shifted towards lower frequencies<sup>12-14</sup> could neither be supported nor rejected on the basis of the present results.

## IV. Conclusions

The silent flight of the owl is not only an outcome of its low flight speed, but is also a direct consequence of its plumage adaptations, that suppress flight noise generation as it is found in other bird species. In order to support this widely accepted hypothesis with experimental results, a study of bird flyover noise was carried out in an outdoor environment. In this study, a sophisticated setup including a 92 channel microphone array and two video cameras was developed and applied for the flyover measurements. Out of the six birds that were tested for their flight noise, three performed a number of flights that could be successfully analyzed. The results indicate that the predominant mechanisms of flight noise generation are different for owls and other, non-quiet flying species. The noise for frequency bands above 1.6 kHz is significantly lower for the owls with a noise reduction of a few decibels. At high frequencies above 6.3 khz the noise from the owl is so low that it could not be measured even with the microphone array.

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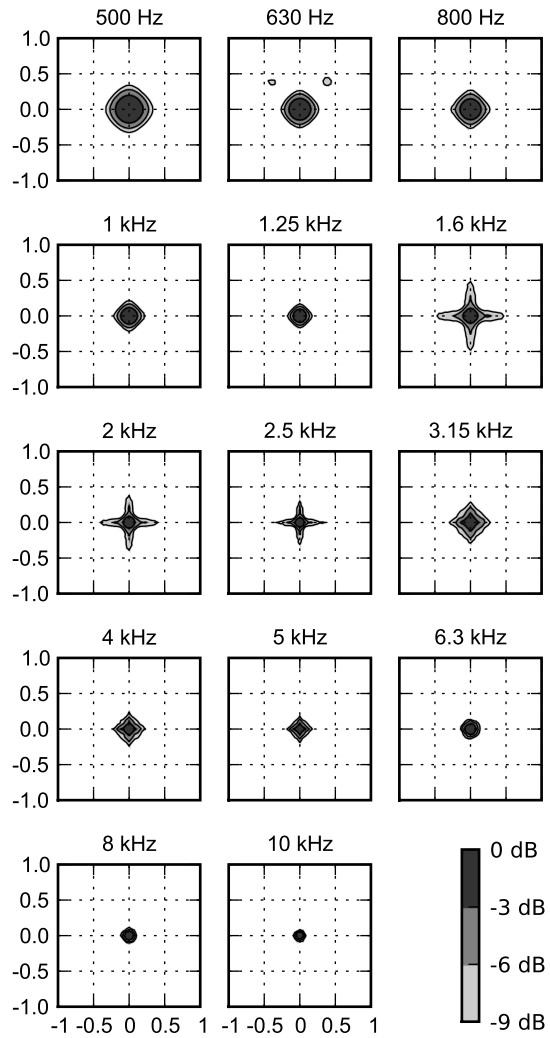
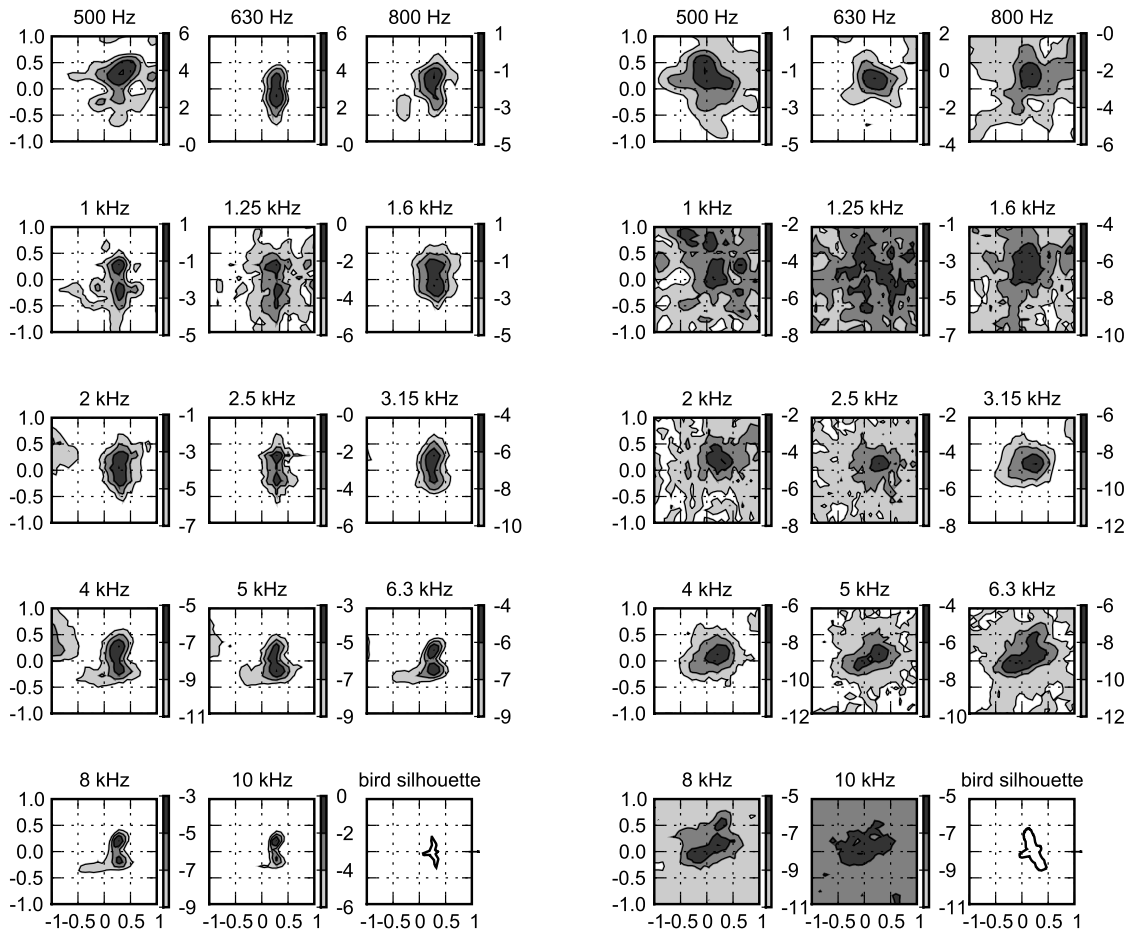


Figure 7. Point spread functions of the microphone array for a fixed source in a distance of 0.9 m from the array center. Coordinates are given in meters.



(a) Common Kestrel

(b) Barn Owl

Figure 8. Maps of third-octave band sound pressure level contributions (in dB) for one flight. Coordinates are given in meters. The bird silhouette indicates the approximate location of the bird and the direction of flight.



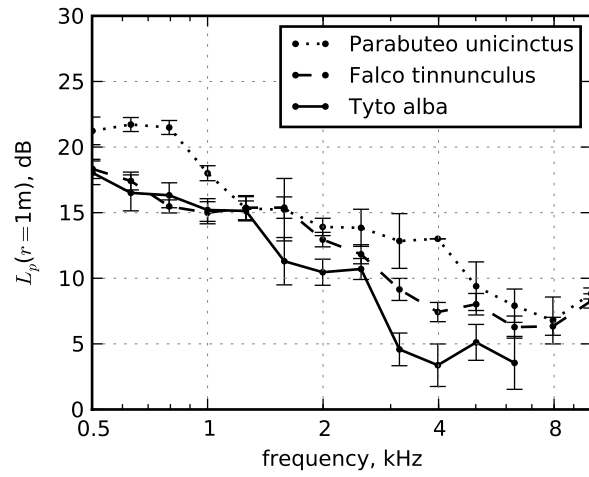


Figure 9. Third octave spectra from the flyovers

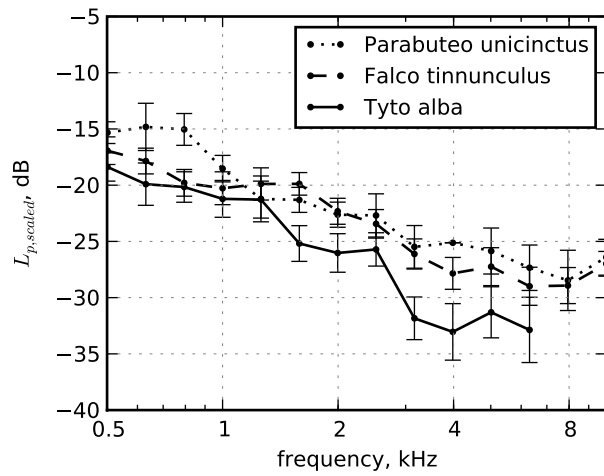


Figure 10. Scaled third octave spectra from the flyovers